



2815

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

NAKAMURA et al

**Issue Fee Due: January 27, 2006**

Serial No. 09/463,643

Atty. Ref.: 925-178

Filed: May 1, 2000

TC/A.U.: 2815

For: NITRIDE SEMICONDUCTOR DEVICE

Examiner: J. Jackson, Jr.

\* \* \* \* \*

November 3, 2005

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

REQUEST FOR RETURN OF RECORDED CHANGE OF NAME

On September 16, 2005, a Change of Name Request was filed in the subject patent (copy of Recordation Request and postcard receipt attached). As of the present date, however, the original recorded Change of Name has not been returned to the offices of the undersigned.

Please check the file of the above-identified application and/or the Assignment Branch to determine the location of this Change of Name and return it to us recorded, as soon as possible.

Respectfully submitted,

**NIXON & VANDERHYE P.C.**

By:

A handwritten signature in black ink, appearing to read "Chris Comuntzis".

Chris Comuntzis  
Reg. No. 31,097

CC:lmr  
901 North Glebe Road, 11th Floor  
Arlington, VA 22203-1808  
Telephone: (703) 816-4000  
Facsimile: (703) 816-4100

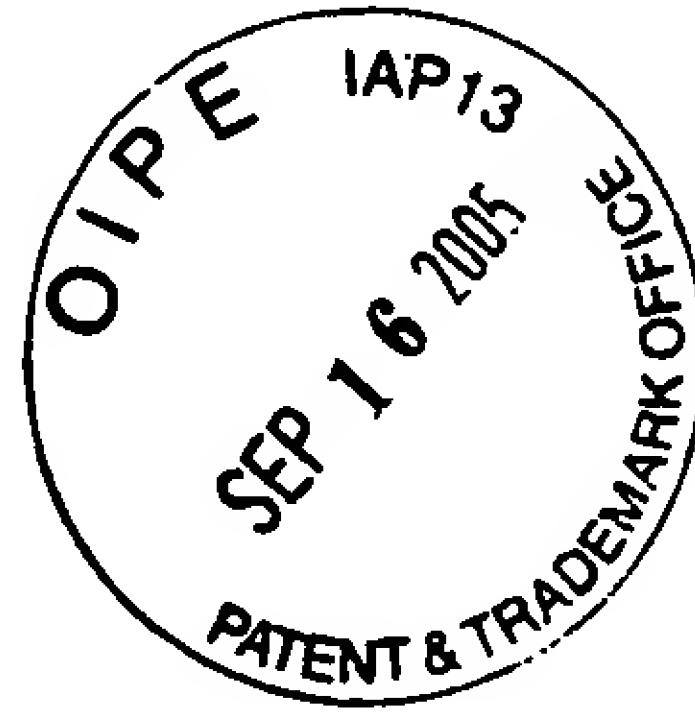


Serial No.: 09/463,643      C#/M#: 925-178  
Inventor/s: NAKAMURA et al      Atty: Chris Comuntzis  
Title: NITRIDE SEMICONDUCTOR DEVICE      Date: Sept. 16, 2005

Change of Name (7 Pages) Incl. Cover

\$40.00 Total Fee

Other:





## RECORDATION FORM COVER SHEET

### PATENTS ONLY

Our Ref.: 925-178

To the Director of the U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof.

Name of conveying party(ies):

Nichia Chemical Industries, Ltd.

2. Name and address of receiving party(ies):

Name: Nichia Corporation

Internal Address:

Street Address: 491-100, Oka, Kaminaka-cho, Anan-shi

Additional name/s of conveying party/ies attached?

3. Nature of conveyance:

Assignment       Merger  
 Security Assignment       Change of Name  
 Other

Execution Date: November 8, 2003

City: Anan-shi

State/Country: Tokushima, Japan

Zip: 774-8601

Additional name/s & address/es attached?  Yes  No

4. Application number(s) or patent number(s):

This application is being filed together with a new application.

A. Patent Application No(s).

(1) 09/463,643

(2)

(3)

B. Patent No(s).

(1)

(2)

(3)

Additional numbers attached  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

6. Total number of applications & patents involved: 1

Name: Chris Comuntzis

7. Total fee (37 CFR 3.41) \$ 40.00

Internal Address:

Enclosed

Street Address: Nixon & Vanderhye P.C.

Authorized to be charged to deposit account #14-1140

901 North Glebe Road

11th Floor

City: Arlington State: VA Zip: 22203

8. The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper thereafter filed in this application by this firm) to our Account No. 14-1140.

### DO NOT USE THIS SPACE

9. Statements and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Chris Comuntzis

September 16, 2005

Name of Person Signing  
Reg. No. 31,097

Signature

Date

Total number of pages including original cover sheet, attachments, and document: [7]

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:

Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

# 日亜化学工業株式会社 定 款

## 第1章 総 則

第1条 当会社は商号を日亜化学工業株式会社という。  
②当会社の商号の英文表記は Nichia Corporation とする。

第2条 当会社は次の事業を営むことを目的とする。  
①蛍光体及び各種の発光材料並びに関連する応用製品の  
製造販売と研究開発  
②電子工業製品に関する部品及び素材の製造販売並び  
に研究開発  
③医薬品、触媒、試薬及び高純度工業薬品の製造販売並び  
に研究開発  
④前各号に関連する業務

第3条 当会社は本店を徳島県阿南市に置く。

第4条 当会社の公告は徳島市において発行する徳島新聞に掲載  
する。

## 第2章 株 式

第5条 当会社の発行する株式の総数は450万株とする。

第6条 当会社の発行する株式はすべて普通株式とし、株式を譲渡  
するには取締役会の承認を要する。

第7条 当会社の1単元の数は10株とする。

## 第3章 株主総会

第8条 当会社の定時株主総会は決算期後3ヶ月以内に招集する。

第9条 定時株主総会の議決権を行使できる株主を定める基準日は  
12月31日とする。

第10条 株主総会の議長は代表取締役これにあたり、代表取締役事故があるときは他の取締役これに代わる。

第11条 株主総会の決議は法令に別段の定めがない限り、出席株主の議決権の過半数による。

第12条 株主が代理人を以てその議決権を行使する場合、その代理人は当社の議決権を有する株主に限る。

#### 第4章 役 員

第13条 当会社の取締役の選任決議は累積投票によらない。

第14条 取締役の任期は就任後2年内の最終の決算期に関する定時株主総会終結の時までとし、増員または補欠として選任された取締役の任期は、在任取締役の任期の満了すべき時までとする。

②監査役の任期は就任後4年内の最終の決算期に関する定時株主総会終結の時までとし、増員または補欠として選任された監査役の任期は在任監査役の任期の満了すべき時までとする。

第15条 取締役会の決議をもって代表取締役2名以内を定める。  
代表取締役は会社を代表する。

第16条 取締役会の招集通知は各取締役および監査役に対して会日より3日前に発する。但し、緊急の場合はさらにこれを短縮することができる。

#### 第5章 計 算

第17条 当会社の決算期は毎年12月末日の1回とする。

第18条 株主配当金は12月末日現在の株主に支払う。

原本に照して相違ありません。  
平成15年11月8日

徳島県阿南市上中町岡491番地100  
日亜化学工業株式会社  
代表取締役社長 小川英治



## ARTICLES OF INCORPORATION OF NICHIA CORPORATION

### CHAPTER 1: GENERAL PROVISIONS

#### Article 1 (Trade Name)

The Company shall be called Nichia Kagaku Kogyo Kabushiki Kaisha.  
(2) The Company shall be called NICHIA CORPORATION in English.

#### Article 2 (Business Purposes)

The business purposes of the Company shall be to engage in the following businesses.

- (1) To carry on the business of manufacture, marketing, research and development in fluorescent articles, various kinds of fluorescent materials and applied products related thereto;
- (2) To carry on the business of manufacture, marketing, research and development in parts and materials relating to electronics products;
- (3) To carry on the business of manufacture, marketing, research and development in medical supplies, catalyst, reagent and industrial medicines of high purity; and
- (4) Any and all businesses incidental to or relating to the foregoing

#### Article 3 (Location of Head Office)

The Company shall have its head office in Anan-shi, Tokushima.

#### Article 4 (Method of Public Notice)

Public notice of the Company shall be carried in Tokushima Shinbun (newspaper) published in Tokushima-shi.

### CHAPTER 2: SHARES

#### Article 5 (Aggregate Number of Shares)

The aggregate number of shares authorized to be issued by the Company shall be 4,500,000 shares.

#### **Article 6 (Kind of Share Certificate and Restriction on Transfer)**

All share certificates to be issued by the Company shall be ordinary shares. Transfer of the shares of the Company shall be subject to the approval by the Board of Directors.

#### **Article 7 (Denomination of Share Certificate)**

Share certificate of the Company shall be in a unit of 10 shares.

### **CHAPTER 3: GENERAL MEETING OF SHAREHOLDERS**

#### **Article 8 (Convening)**

The annual general meeting of shareholders of the Company shall be convened within three months of the day next following the last day of closing of accounts.

#### **Article 9 (Base Date)**

The base date for determining the shareholder having its voting right at any annual general meeting of shareholders shall be December 31.

#### **Article 10 (Chairmanship)**

A Representative Director shall assume chairmanship of a general meeting of shareholders. When the Representative Director is unable to do so, one of the other Directors shall act in his place.

#### **Article 11 (Method of Adopting Resolutions)**

Resolutions of a general meeting of shareholders shall be adopted by a majority of votes of shareholders present at such meeting unless otherwise provided for by laws or regulations.

#### **Article 12 (Proxy)**

When a vote for any resolution of a general meeting of shareholders should be exercised by a proxy, such proxy shall be limited to a shareholder of the Company having its voting right at such general meeting of shareholders.

## **CHAPTER 4: DIRECTORS AND STATUTORY AUDITORS**

### **Article 13 (Election of Director)**

The resolution to elect any Director of the Company shall not be made by cumulative voting.

### **Article 14 (Term of Office)**

The term of office of Directors of the Company shall expire at the close of the general meeting of shareholders relating to the closing of accounts last to occur within two (2) years from their assumption of office. In the case of a Director who was elected to fill a vacancy arising from the early retirement of his predecessor or to increase the number of Directors, the term of office of such Director shall be equal to the remaining period of the term of office of other Directors currently in office.

(2) The term of office of Statutory Auditors of the Company shall expire at the close of the general meeting of shareholders relating to the closing of accounts last to occur within four (4) years from their assumption of office. In the case of a Statutory Auditor who was elected to fill a vacancy arising from the early retirement of his predecessor or to increase the number of Statutory Auditors, the term of office of such Statutory Auditor shall be equal to the remaining period of the term of office of his predecessor.

### **Article 15 (Representative Directors)**

By its resolution, the Board of Directors shall appoint not more than two (2) Directors from among the Directors to represent the Company. The Representative Director so appointed shall represent the Company.

### **Article 16 (Convening of Meeting of Board of Directors)**

Notice of convening of meeting of Board of Directors shall be dispatched to each Director and Statutory Auditor three (3) days prior to the date of such meeting, provided, however, such period of notice may be shortened in the case of an emergency.

## **CHAPTER 5: ACCOUNTS**

### **Article 17 (Closing of Accounts)**

**The Date for closing of the accounts of the Company shall be the last day of December each year.**

### **Article 18 (Dividends of Shares)**

**Dividends of shares shall be paid to the registered shareholders as of the last day of December.**

**I hereby certify that the above is true and correct  
in collation with the registered original.**

**November 8, 2003**

**491-100, Oka, Kaminaka-cho, Anan-shi, Tokushima**

**NICHIA CORPORATION**

**Eiji OGAWA, President**

**(seal)**